

Expersoft PM1e - Module Data Sheet

ORDER CONTROL SYSTEM (OCS)

The PM1e OCS is a customizable, integrated system for the preparation and execution of single, global and switch orders with integrated pre-trade checks of management, legal, and client restrictions. It offers an easy-to-use online interface to enter and control orders. An optional routing module provides a direct link to the trading desk and stock markets for a truly Straight Through Process.

Business Case

Rising transaction volumes in securities trading demand for sophisticated automation. By avoiding idle times caused by interfaces, PM1e OCS shortens the business process cycle and increases the service level as perceived by the user or client.

Key Benefits

- More efficient transaction processes further lower transaction costs and related risks
- Real-time checks of taxes, holding periods/constraints promote maximum compliance
- Time gains and minimized redundancy during order generation, particularly in high-volume environments
- Improved order controlling through real-time tracking
- Reduced error rate for transaction booking

Key Features

- Easy-to-use online interface for order entry
- Entry of single, global and switch orders
- Automatic pre-trade checks for class data, inventories, balances and user authorizations
- Generation of collective orders based on user-specific definitions such as asset class proportions, switch possibilities, etc.
- Automatic generation of rebalancing proposals following a change in market situation or strategy.
- Order generation for multiple portfolios in conjunction with a global order or with the PM1e Rebalancing component

The PM1e Platform

Expersoft PM1e is a comprehensive and versatile wealth and asset management platform. It provides financial service firms with an unrivaled width of capabilities to analyze, plan, implement and control investments within one flexible, integrated, and easy-to-use environment.

Expersoft tailors the various PM1e components to solutions which precisely meet our customers' requirements in scope and scale and support a truly Straight Through Process from relationship management to investment decision, order management, and financial accounting.

About Expersoft

Expersoft Systems provides leading-edge software products and services for retail and private banks, family offices, asset managers, brokers, and other financial service firms.

Founded in 1992, Expersoft currently serves over 200 clients worldwide from its headquarters in Switzerland and through its subsidiaries and distributors in Europe, the Middle East, Asia-Pacific and North America.

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